



EUROPEAN COMMISSION

Brussels, 17.9.2012
C(2012) 6299 final

COMMISSION IMPLEMENTING DECISION

of 17.9.2012

on adopting the annual work programme for 2013 for the specific programme on the "Prevention, Preparedness and Consequence Management of Terrorism and other Security-related risks" as part of the General Programme "Security and Safeguarding Liberties"

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THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Decision 2007/124/EC, Euratom of 12 February 2007 establishing for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties, the Specific Programme 'Prevention, Preparedness and Consequence Management of Terrorism and Other Security Related Risks',¹ and in particular Article 8(2) thereof,

Whereas:

- (1) In accordance with Article 7 of Decision 2007/124/EC, Euratom, Community support may take the form of grants or public procurement contracts. Community grants shall be awarded further to calls for proposals, save in duly substantiated exceptional cases of urgency or where the characteristics of the beneficiary leave no other choice for a given action, and shall be provided through operating grants and grants for actions.
- (2) In accordance with Article 8(2) of Decision 2007/124/EC, Euratom, the Commission shall adopt an annual work programme specifying its specific objectives, thematic priorities, a description of accompanying measures envisaged and if necessary a list of other actions.
- (3) The 2013 annual work programme should determine the arrangements for granting financial support to the eligible actions listed in Article 5 of Decision 2007/124/CE, Euratom.
- (4) Article 75 of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities, hereafter referred to as the Financial Regulation,² requires that every item of expenditure shall be committed and that the commitment of the expenditure shall be preceded by a financing decision adopted by the institution or the authorities to which powers have been delegated by the institution.
- (5) In accordance with Article 110(1) of the Financial Regulation, grants are subject to an annual work programme, published at the start of the financial year.
- (6) In accordance with Article 90(2) of Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of the Financial Regulation, hereafter referred to as the Implementing Rules,³ the decision adopting an annual work programme within the meaning of Article 110 of the

¹ OJ L 58, 24.2.2007, p.1.

² OJ L 248, 16.9.2002, p. 1.

³ OJ L 357, 31.12.2002, p. 1.

Financial Regulation is considered as the financing decision within the meaning of Article 75 of the Financial Regulation, provided that it constitutes a sufficiently detailed framework.

- (7) In accordance with Article 166 of the Implementing Rules, the annual work programme specifies the basic act, the objectives and the schedule of calls for proposals with the indicative amount and the results expected.
- (8) The measures provided for in this Decision are in accordance with the opinion of the Committee established by Article 9 of Decision 2007/124/EC, Euratom.

HAS DECIDED AS FOLLOWS:

Article 1

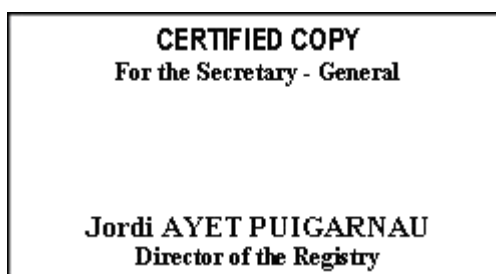
The 2013 annual work programme for the specific programme 'Prevention, Preparedness and Consequence Management of Terrorism and Other Security Related Risks', described in the Annex to this decision, is hereby adopted. This decision serves as a financing decision for 2013 for the budget article 18.05.08 subject to the condition that the budget for 2013 is adopted by the budgetary authority. The total amount covered by this decision is 12.100.000 € subject to the necessary funds being available under the 2013 general budget of the European Union.

Article 2

Cumulated changes to the allocations to the specific actions not exceeding 20% of the maximum contribution authorised by this Decision are not considered to be substantial provided that they do not significantly affect the nature and objective of the work programme. This may include the increase of the maximum contribution authorised by this Decision up to 20%. The authorising officer responsible may adopt such changes in accordance with the principles of sound financial management and of proportionality.

Done at Brussels, 17.9.2012

For the Commission
Cecilia MALMSTRÖM
Member of the Commission



ANNEX

ANNUAL WORK PROGRAMME 2013

PREVENTION, PREPAREDNESS AND CONSEQUENCE MANAGEMENT OF TERRORISM AND OTHER SECURITY RELATED RISKS

INTRODUCTION

This is the seventh Annual Work Programme adopted under the Council Decision No 2007/124/EC, Euratom, establishing the Specific Programme "Prevention, Preparedness and Consequence Management of Terrorism and other Security related Risks for the Period 2007-2013" (hereafter: the Programme)⁴ as part of the General Programme on "Security and Safeguarding Liberties".

This Programme offers a comprehensive framework and contributes to the development of the European Programme for Critical Infrastructure Protection (EPCIP)⁵, as well as policy measures aiming at upholding, and/or guaranteeing security and public order during a crisis situation. It also contributes to the implementation of the Communication "EU Internal Security Strategy in Action" adopted by the Commission on 22 November 2010.⁶

The Commission will ensure complementarity with other European Union initiatives and internal consistency e.g. with the Seventh Framework Programme for research, technological development and demonstration activities (2007-2013), and in particular the Security themes, as well as other related actions, as in the area of civil protection and security of energy supply, which includes the protection of energy infrastructures - with due consideration of ethical review and of research on citizenship, fundamental rights and relations between internal and external EU policies. This will be achieved by reinforced inter-service consultation during the implementation phases of the work programme, including with the European External Action Service, given the link between internal and external security, in particular in the area of countering terrorism and protecting critical infrastructure. Any actions funded will be complimentary to the current long term actions in the field of e.g. counter terrorism and protection of critical infrastructure, as financed by the Instrument for Stability.

This annual work programme covers the priorities in 2013 and consists of the following parts and types of actions:

Part	Types of actions	The budget envisaged
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⁴ OJ L 58, 24.02.2007, p.1

⁵ Council Directive No. 2008/114/EC:

(a) 'critical infrastructure' means an asset, system or part thereof located in Member States which is essential for the maintenance of vital societal functions, health, safety, security, economic or social well-being of people, and the disruption or destruction of which would have a significant impact in a Member State as a result of the failure to maintain those functions;

b) 'European critical infrastructure' or 'ECI' means critical infrastructure located in Member States the disruption or destruction of which would have a significant impact on at least two Member States. The significance of the impact shall be assessed in terms of cross-cutting criteria. This includes effects resulting from cross-sector dependencies on other types of infrastructure;

⁶ COM/2010/0673 final available at <http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CELEX:52010DC0673:EN:HTML>

I.	Grants	
A	Action grants	9 500 000€
B	Grants to standardisation bodies	N/A
C	Grants to bodies in monopoly situations	N/A
II.	Public procurement contracts and administrative arrangements	
D	Public procurement	€ 1 100 000
E	Actions to be carried out with the JRC	€ 1 500 000
	Total:	€ 12 100 000

The financial support should achieve general and specific objectives in two main areas:

- Prevention and Preparedness refers to measures aimed at preventing and/or reducing risks linked to terrorism and other security related risks.
- Consequence Management refers to the coordination of measures taken in order to react to and to reduce the impact of the effects of a security related incident, in particular resulting from terrorist attacks in order to ensure a smooth coordination of crisis management and security actions.

Actions funded under this Programme have to be based on an all-hazards approach, while countering threats from terrorism as a priority.

The specific objectives of the Programme are the following:

- Within the general objectives, and unless covered by other financial instruments, the Programme shall stimulate, promote and develop measures on prevention, preparedness and consequence management based, *inter alia*, on comprehensive threat and risk assessments, subject to the supervision by the Member States and with due regard to existing European Union competence in that matter, and aiming to preventing or reducing risks linked with terrorism and other security related risks.
- With regard to prevention and preparedness of risks linked with terrorism and other security related risks the Programme aims at protecting people and critical infrastructure, in particular by:
 - stimulating, promoting, and supporting risk assessments on critical infrastructure, in order to upgrade security;
 - stimulating, promoting, and supporting the development of methodologies for the protection of critical infrastructure, promoting and supporting shared operational measures to improve security in cross-border supply chains,
 - promoting and supporting the development of security standards, and an exchange of know-how and experience, on protection of people and critical infrastructure;
 - promoting and supporting European Union wide coordination and cooperation on protection of critical infrastructure.
- With regard to consequence management the Programme aims at:

- stimulating, promoting and supporting the exchange of know-how and experience, in order to establish best practices with a view to coordinate response measures and to achieve cooperation between various actors involved in crisis management and security actions;
- promoting joint exercises and practical scenarios including security and safety components, in order to enhance coordination and cooperation between relevant actors at the European level.

Establishing risk and threat assessments of specific critical infrastructures is an area of activity for the Member States or the Commission, having due regard to existing Union competences, and may only be undertaken under the leadership of a public authority. However, the development of common methodologies and approaches to risk and threat assessments, which could be shared with others across the European Union, can be proposed by various stakeholders having experience in this field. Such methodologies would be of a generic nature and would not address any specific security issues, but could be used by the interested parties (Member States, infrastructure owners/operators) for such purposes.

This Programme does not apply to matters covered by other financial instruments, in particular the Rapid Response and Preparedness Instrument for Major Emergencies (now renamed Civil Protection Financial Instrument) and the research activities in the areas of Security and Space in the Seventh Framework Programme for research, technological development and demonstration.

It is envisaged that one call for proposals will be published on the European Commission's website in the second quarter 2013.

EXPECTED OUTCOME

The projects and other actions are expected to contribute to the achievement of the general as well of the specific objectives of the programme. The projects are moreover intended to contribute:

- To the development of instruments (at EU level), strategies and activities/measures in the field of the effective protection of critical infrastructure (at both EU and MS levels) and/or;
- To the development of a common framework for the effective protection of critical infrastructure at EU level.

In addition, the projects should achieve the following results:

- development of methods, techniques and instruments for operational or training use in this particular field;
- exchange and dissemination of information, experience and best practices between Member States and between the different organisations or bodies responsible for the protection of critical infrastructures;
- development and improvement of the relationship between public authorities and private sector in the field covered by the Programme;
- improvement of mutual knowledge of the Member States' protection systems;
- improvement of mutual knowledge of the Member States' security capabilities specific to terrorist crises

- improvement of mutual knowledge of the Member States' risk assessment methodologies and practices
- enhancement of the capacity to share good practices;
- creation of informal contact networks between authorities;
- development of a culture of trust and cooperation.

A. ACTION GRANTS

Following calls for proposals, action grants may be awarded to transnational and/or national projects, in accordance with the minimum rate of 65% of the annual expenditure set in the Basis Act⁷. The budget dedicated to calls for proposals is estimated at 9.500.000 €.

All areas identified in the Programme are open for proposals. For 2013, the following priorities have been identified: (proposals submitted outside these priorities will also be considered, subject to quality and budget availability after funding projects matching priorities):

- Supporting the development of concepts as well as the implementation of a reshaped Europa initiative on critical infrastructure protection
- Supporting the identification and analysis of European sectoral and cross-sectoral criticalities (including facilitating the implementation of Directive 2008/114/EC);
- Promoting risk analysis and the development of measures to enhance the security and resilience of critical infrastructures, assets, networks and systems, as well as a common understanding in relation to sectoral, intersectoral and cross-border risk management;
- Supporting Member States by enhancing communication in order to strengthen cooperation between the public and the private sector (regular meetings to discuss issues of common interest) as well as by improving international cooperation and a cross-border exchange of information between these stakeholders and the relevant institutions at European level;
- Interdependency analysis on a multisectoral level;
- Developing tools and concepts to integrate critical infrastructure protection into the crisis management set-up
- Increasing the security awareness of critical infrastructure operators;
- Improving information management with regard to critical infrastructures;
- Increasing critical infrastructure protection capability in the transport, energy, ICT, chemical, financial, water, food, health, space, research, nuclear, government or any other relevant sector;
- Increasing mutual knowledge of the Member States' security capabilities specific to terrorist crises in view to enhance preparedness and response capabilities in case of terrorist attacks requiring mutual assistance at EU level;
- Developing risk assessment tools and methodological models related to malicious intentional threats.

⁷ Art 7.2 of Council Decision 2007/124/EC, Euratom

B. GRANTS TO STANDARDISATION BODIES

None in 2013.

C. GRANTS TO BODIES IN MONOPOLY SITUATIONS

None in 2013.

CONDITION AND MODALITIES (FOR ALL GRANTS)

In line with the Financial Regulation and the basic act, the following conditions and modalities will apply.

1. Financial provisions

- The maximum rate of co-financing by the Commission is 90% of the total eligible costs of the project.
- Projects must be strictly non-profit making following Art. 109 (2) of the Financial Regulation.
- Grants awarded by this Programme shall be covered by a written agreement, including the modalities for the reimbursement of a specified proportion of the eligible costs actually incurred;
- As a general rule, the co-funding is provided in two instalments: a pre-financing payment corresponding to 80% of the Commission subvention on signature of the grant agreement (lodging a bank guarantee in advance may be requested from beneficiaries to limit the financial risks connected with the payment of the pre-financing), and the balance on receipt and approval by the Commission of the final report and final financial statement.

2. Exclusion

Candidates shall be excluded from participating in the call for proposals if they are in one or more of the situations listed in Articles 93(1) and 94 of the Financial Regulation.

3. Eligibility

To be eligible, grant applications must meet the following criteria:

- Proposals must be submitted by bodies and organisations established in the Member States with legal personality. Applications from natural persons are not eligible. Bodies and organisations which are profit oriented may submit projects only in conjunction with non-profit oriented or state organisations.
- Proposals must match one or more objectives of the programme;
- Transnational projects must involve partners in at least two Member States, or at least one Member State and a candidate country. In a transnational project, the Applicant organisation/coordinator must have at least one Co-beneficiary (that will receive funding from the Commission) from another EU Member State. Organisations in third countries, Union Agencies and international organizations may participate as associate partners on a non-cost basis ('partners' not receiving funding from the Commission), but are not permitted to submit projects.

- National projects are eligible as starter and/or complementary measures to transnational projects, or as a contribution to developing innovative methods and/or technologies with a potential for transferability at Union level, or as a development of such methods and technologies with the view to transfer them to other Member States;
- Proposals seeking EU co-funding of less than € 100.000 will not be eligible to receive a grant.
- Subcontracting of a limited part of the action may be eligible up to 30% of the total eligible costs of the project.
- Projects cannot be already completed and should be scheduled to start not before the signature of the grant agreement. An earlier start of the project may be accepted only where the applicant can demonstrate the need to start the action before the grant agreement is signed. In such cases, expenditure eligible for financing may not have been incurred prior to the date of the award of the grant.
- Projects cannot last more than two years.

4. Selection criteria

In accordance with Article 116(1) of the Financial Regulation and Article 176 of the Implementing Rules, proposals for projects shall be evaluated on the basis of the following selection criteria:

- the applicant's operational and professional competencies and qualifications in the specified area required to complete the proposed action or work programme including evidence of relevant professional training and/or experience for the personnel concerned. In the case of government or law enforcement organisations, evidence that the project falls within their statutory area of responsibility may be submitted to establish their operational and technical competence. Proposals should also show evidence of ability to access information or participants in the way proposed.
- the applicant's financial capability, i.e. stable and sufficient sources of funding to maintain its activity throughout the period during which the action is being carried out and to participate in its funding, based on the submitted documents (such as the balance sheets showing the annual incomes and expenditures, cash flow, debts and the amount of cash available).

Only proposals which meet the above selection criteria will be examined in detail.

5. Award criteria

Proposals that are eligible and meet the selection criteria will be assessed by the evaluation committee and they will be ranked on the basis of the following award criteria:

Conformity. Projects will be assessed on the extent to which they match priority areas identified in Section A above and in the relevant EU strategic documents and/or action plans. Projects should demonstrate that their objectives reflect a clearly identified need for action according to the EU's policy priorities in the field of Prevention, Preparedness and Consequence management of Terrorism and other Security related Risks.

Quality of the proposed action regarding its conception, organisation, presentation, methodology, expertise, expected results and strategy for their dissemination. In particular, the ability of the project to attain the desired objective(s), quality of budgeting and project management will be assessed.

Value for money. Amount requested for financial support and its appropriateness as to expected results will be assessed in terms of: consistency between the work programme and the budget; adequacy of budgetary resources (personnel, equipment, travel, etc.) for carrying out the action; demonstration of overall cost effectiveness and value for money. Larger projects, in terms of scope of the planned activities, number of participants, economies of scale and cost effectiveness will be favoured

Impact of the expected results on the general objectives of the Programme and on measures taken in the different domains as specified in Articles 4 and 5 of the Council Decision.

European added value. European added-value includes geographical coverage of a project but, most of all, analysis and experimentation that lead to recommendations for common models, protocols, guidelines, structures, mechanisms, policies and processes. In practice, it implies that - in addition to running the project in a number of Member States and building multinational partnerships - applicants must look beyond the framework of the project to find the broader European relevance of the issues, the actions and the output of the project. Every project should end, if possible, with a clear indication of how the project can be further developed at EU level, and with a statement of its potential for European debate and action;

6. Timetable

The following schedule is envisaged:

Inter-services consultation	May 2012
Opinion of the Programme Committee	July 2012
Decision by the Commission	September 2012
Publication of Call for proposals	2Q2013
Deadline for submission of proposals	3Q2013
Opinion of the Programme Committee on the award of grants	4Q2013
Commitments and grant agreements	From 4Q2013 onwards

D. PUBLIC PROCUREMENTS

1. Actions envisaged

The Commission intends to undertake the following actions in 2013 through appropriate procurement procedures:

a) Support for Critical Infrastructure Protection expert groups

This action provides for the organisation and support of Critical Infrastructure Protection expert meetings as required, including meetings with third country experts.

Envisaged budget for this action: € 0.6m (indicatively 2 new specific contracts in Q1 and Q2 2013 under existing DG HOME framework contract)

b) Further maintenance and development of CIWIN (Critical Infrastructure Warning and Information Network).

After migration to the production environment in 2012 this action provides for the necessary technical support to maintenance, the provision of helpdesk and training, and further technical development.

Envisaged budget for this action: € 0.5m (indicatively one new specific contract in Q1 2013 under existing DG HOME framework contract)

The total budget envisaged for contracts is € 1 100 000.

E. ACTIONS TO BE CARRIED OUT WITH THE JRC

The budget of € 1 500 000 for these actions will be the subject to administrative arrangements for the provision of services, the supply of products or the execution of works concluded between the Commission and the JRC under Article 116(7) of the Implementing Rules. In cases where these actions imply further re-distribution of the funding to third parties by way of substantial procurement procedures or grants, these actions will be subject of co-delegation or crossed sub-delegation.

1.1 Risk Assessment methodologies and tools for critical infrastructure protection: Increasing resilience against all-hazards

The aim of this activity will be to establish both the methodological framework as well as the necessary implementing tools for the risk assessment of critical infrastructures incorporating an all-hazards approach and with long term objective to increase their resilience. The methodological framework and the associated implementation tools will consist of the following elements:

Methodological framework and relevant tools for the identification of functional interdependencies between inhomogeneous infrastructures

Methods and tools for the identification of vulnerabilities and criticalities in complex networked infrastructures

Establishment of failure scenarios and detailed risk assessment for prioritized scenarios (e.g. fault tree analysis)

Evaluation of the impact of critical infrastructure disruption and development of the relevant platforms for the visualization of the impact at European level

Foreseen duration: 24 months

Envisaged budget: €0.75 M

1.2 Tools for space situational awareness and their integration with risk assessment methodology in the CIP framework (through sub-delegation to DG ENTR)

Space situation awareness is recognized as a major security-enhancement objective for both ground and space-based infrastructures. The aim of this activity is to analyse the interdependencies in the space- and ground- based infrastructures, to develop a risk assessment tool for space situation awareness and to integrate this tool with the generic risk assessment tool for CIP (Activity 1.11 above). The focus will be on the space sector in view of the growing perception of man-made and natural threats in the space and their impact on civil security.

The activity will include the following tasks:

Analysis of threats and vulnerabilities of space-based infrastructures (on-orbit and ground-based components) and services

Analysis of interdependencies between the on-orbit and ground-based infrastructures, and particularly regarding the communication links (e.g. (non) purposeful interference) and cyber security (e.g. viruses, spoofing, denial of services, etc.)

A risk assessment tool for space situation awareness for the civil sector

Foreseen duration: 24 months

Envisaged budget: €0.75 M

The total budget envisaged for the actions to be carried out with the JRC is € **1.500.000**.

ACCOMPANYING MEASURES

According to Article 7(3) of the basic act, the Commission may finance administrative and technical assistance activities regarding the management of this Programme through public procurement.

In particular, this may include the payment of external experts, activities regarding the exploitation and dissemination of project results, preparatory measures in view of new Internal Security Fund 2014-2020, production of information material and the organisation of meetings. The execution of these activities is subject to the available resources on budget line 18 01 04 16.

Indicatively these measures will be implemented via 2 specific contracts based on existing DG HOME framework contracts and some 10-15 new contracts for external experts on the basis of the AMI list.